



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

#4

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/391,861

09/27/99

THOMASON

A

09.371

0262/0938

MCDONNELL SOEHNEN HULBERT AND BERGHOFF  
300 SOUTH WACKER DRIVE  
CHICAGO IL 60606

NOT ASSIGNED

1543

DATE MAILED:

09/28/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**

***Filing Date Granted***

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 120.

1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,371)

PATENT

In the Application of:

Thomason *et al.*

Serial No. 09/391,861

Filed: September 7, 1999

For: Fibroblast Growth Factor-Like  
Polypeptides

Before the Examiner:

Group Art Unit: 1643

TRANSMITTAL LETTER

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In regard to the above-identified application:

1. We are transmitting herewith the attached
  - a. Response to Notice to File Missing Parts of Application
  - b. Executed copy of Oath or Declaration
  - c. Return Receipt Postcard
2. With respect to additional fees:
  - a. An additional fee of \$130.00 is required.
3. A check in the amount of \$130.00 is enclosed.
4. Please charge any deficiency in the amount due, or credit any overpayment, to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
5. CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this Transmittal Letter and this paper, as described in paragraph 1 herein above, are being deposited with the United States Postal Service, as Express Mail, in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on this 23rd day of November, 1999.

By:

Kevin E. Noonan, Ph.D.  
Reg No. 35,303



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,371)

PATENT

In the Application of:	)	
	)	
Thomason <i>et al</i>	)	Before the Examiner:
	)	
Serial No. 09/391,861	)	
	)	Group Art Unit: 1643
Filed: September 7, 1999	)	
	)	
For: Fibroblast Growth Factor-Like	)	
Polypeptides	)	

RESPONSE TO NOTICE TO FILE MISSING PARTS  
MAILED SEPTEMBER 28, 1999

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts, mailed September 28, 1999, Applicants submit herewith an executed copy of their Declaration, pursuant to 37 C.F.R. §§1.63 and 1.68.

A check in the amount of \$130.00 accompanies this Response.

Respectfully submitted,  
McDonnell Boehnen Hulbert & Berghoff

Date: November 23, 1999

By:

Kevin E. Noonan, Ph.D.  
Reg. No. 35,303